

EXPRESS MAIL LABEL NO. EL740547690US

PATENT APPLICATION
Docket No.: 2408.4911US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jeffrey A. Vasek et al.

Filed: February 5, 2002

For: CATHETER CONNECTION AND
REPAIR SYSTEM

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INFORMATION DISCLOSURE STATEMENT

Box PATENT APPLICATION
Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.97 and 1.98(a)(1), transmitted herewith is a Form PTO-1449 containing a list of all patents, publications, or other references that have come to the attention of one or more of the individuals identified in 37 C.F.R. §1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §102 or §103, the transmitted list of references is disclosed in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56.

No representation is made that a specific search of office files or Patent Office records has been conducted, or that no better art exists. Nevertheless, the undersigned attorney of record believes that the transmitted references constitute art that is the closest to the claimed invention taken

in its entirety of which the undersigned is presently aware, and no art which is closer to the claimed invention taken in its entirety has been knowingly withheld.

The references listed on the transmitted Form PTO-1449 are below classified for the convenience of the Examiner in one of the following categories of references set forth for differing treatment in accordance with the subsections of 37 C.F.R. §1.98.

I. NEWLY CITED ENGLISH LANGUAGE REFERENCES

The following of the references listed on the transmitted Form PTO-1449 are in the English language and have not to the knowledge of the undersigned attorney been previously submitted to or cited by the United States Patent and Trademark Office:

1. United States Patent Documents

<u>Patent No.</u>	<u>Issue Date</u>	<u>Patentee(s)</u>
2,704,074	03/16/55	Butler
4,547,194	10/15/85	Moorehead
4,772,270	09/20/88	Wiita et al.
4,772,276	09/20/88	Wiita et al.
4,826,477	05/02/89	Adams
4,880,414	11/14/89	Whipple
4,929,236	05/29/90	Sampson
4,946,200	08/07/90	Blenkush et al.
5,178,423	01/12/93	Combeau
5,360,407	11/01/94	Leonard

continued . . .

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<u>Patent No.</u>	<u>Issue Date</u>	<u>Patentee(s)</u>
5,399,168	03/21/95	Wadsworth, Jr. et al.
5,579,762	12/03/96	Lee
5,622,393	04/22/97	Elbich et al.
5,637,102	06/10/97	Tolkoff et al.
6,113,572	09/05/00	Gailey et al.

2. Foreign Patent Documents

<u>Country</u>	<u>Document Number</u>	<u>Date</u>
UK	2,257,764	01/20/93
WIPO	WO 93/17269	09/02/93

3. Other References

-- none --

Pursuant to 37 C.F.R. §1.98(a)(2), a copy of each of the above-listed references is enclosed.

DATED this 5th day of February, 2002.

Respectfully submitted,



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TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Box PATENT APPLICATION
Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Sir:

Transmitted herewith pursuant to 37 C.F.R. §1.97 for filing relative to the above-captioned United States patent application is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. §1.98:

- Statement of relevance of selected cited references not in the English language which are not translated.
- Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.
- Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. §120.

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. §1.98:

 x Form PTO-1449 listing seventeen (17) references submitted for consideration.

 x Copies of seventeen (17) of the references listed on the Form PTO-1449.

 Specimens constituting () of the references listed on the Form PTO-1449.

 English translations of () of the references listed on the Form PTO-1449 which are not in the English language.

 Copies of the following documents from the prosecution of a previous, related application:

 Form PTO-1449 initialed by the Examiner; and

 Form PTO-892

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:

- I. x Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.

II. ___ Following the later of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed or authorized:

___ Promptness Certificate; or

___ The submission fee set forth in 37 C.F.R. §1.17 (p) in the amount of
_____ \$180.00 is one (1) of the following:

___ Enclosed as Check No. _____; or

___ To be paid from the deposit account of the undersigned as
authorized in Section C below.

III. ___ After the mailing of a final Office Action or a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed or authorized:

___ Promptness Certificate;

___ Petition for Consideration; and

___ The petition fee set forth in 37 C.F.R. §1.17(i)(1) in the
amount of _____ \$130.00 is one (1) of the following:

___ Enclosed as Check No. _____; or

___ To be paid from the deposit account of the undersigned as
authorized in Section C below.

IV. _____ After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are enclosed or authorized:

_____ To withdraw the above-captioned application from issue, the following are enclosed:

_____ Petition to Withdraw from Issue; and

_____ The petition fee set forth in 37 C.F.R. §1.17(i)(l) in the amount of _____ \$130.00 is one (1) of the following:

_____ Enclosed as Check No. _____; or

_____ To be paid from the deposit account of the undersigned as authorized in Section C below.

_____ To proceed with further prosecution, the following are enclosed:

_____ Request for Continued Examination; and

_____ The fee for requesting continued examination set forth in 37 C.F.R. §1.17(e) in the amount of _____ \$710.00 is one (1) of the following:

_____ Enclosed as Check No. _____; or

_____ To be paid from the deposit account of the undersigned as authorized in Section C below.

_____ The submission fee set forth in 37 C.F.R. §1.17(p) in the amount of _____ \$180.00 is one (1) of the following:

_____ Enclosed as Check No. _____; or

_____ To be paid from the deposit account of the undersigned as authorized in Section C below.

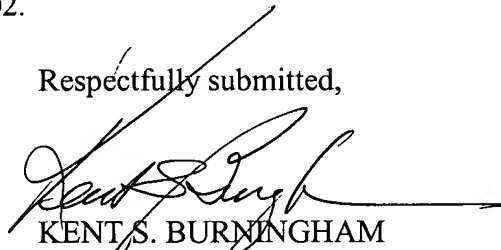
C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 20-1469.

- ☒ Any fee required in relation to filing of this letter or any documents transmitted therewith.
- ☐ The submission fee set forth in 37 C.F.R. §1.17(p) in the event that 37 C.F.R. §1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. §1.97(e)
- ☐ The submission fee set forth in 37 C.F.R. §1.17(p).
- ☐ The petition fee set forth in 37 C.F.R. §1.17(i)(I).
- ☒ Copies in duplicate of this letter are enclosed.

DATED this 5th day of February, 2002.

Respectfully submitted,


KENT S. BURNINGHAM
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Docket: 2408.4911US

Form PTO-1449

Applicant: Jeffrey A. Vasek et al.

Att'y Docket No. 2408.4911US

Filing Date: February 5, 2002

For: CATHETER CONNECTION AND REPAIR SYSTEM

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A1	2,704,074	03/16/55	Butler	128	221	05/24/54
_____ A2	4,547,194	10/15/85	Moorehead	604	283	03/16/84
_____ A3	4,772,270	09/20/88	Wiita et al.	604	175	06/18/87
_____ A4	4,772,276	09/20/88	Wiita et al.	604	283	07/27/87
_____ A5	4,826,477	05/02/89	Adams	604	4	09/10/87
_____ A6	4,880,414	11/14/89	Whipple	604	283	12/31/87
_____ A7	4,929,236	05/29/90	Sampson	604	175	05/26/88
_____ A8	4,946,200	08/07/90	Blenkush et al.	285	38	03/21/89
_____ A9	5,178,423	01/12/93	Combeau	285	247	03/09/92
_____ A10	5,360,407	11/01/94	Leonard	604	175	08/29/91
_____ A11	5,399,168	03/21/95	Wadsworth, Jr. et al.	604	175	07/29/92
_____ A12	5,579,762	12/03/96	Lee	128	207.14	08/01/95
_____ A13	5,622,393	04/22/97	Elbich et al.	285	245	06/30/95
_____ A14	5,637,102	06/10/97	Tolkoff et al.	604	283	05/24/95
_____ A15	6,113,572	09/05/00	Gailey et al.	604	93	02/12/96

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Class</u>	<u>Sub Class</u>	<u>Trans- lation</u>
_____ A16	UK	2,257,764	01/20/93	F16L	33/207	N/A
_____ A17	WIPO	WO 93/17269	09/02/93	F16L	33/00	N/A

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through

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Sheet 2 of 2

Form PTO-1449

Applicant: Jeffrey A. Vasek et al.

Att'y Docket No. 2408.4911US

Filing Date: February 5, 2002

For: CATHETER CONNECTION AND REPAIR SYSTEM

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

-- none --

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through